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### Creating Acceptance for (energy) innovations: Participation and acceptance

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#### **Content of presentation**

- Introducing ECN and myself
- Definitions (societal acceptance, stakeholders etc.)
- Main dilemma in practice
- Reasons for lack of societal acceptance
- Possibilities to work towards societal acceptance
- The tool ESTEEM

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#### Ruth

- Senior researcher ECN
- Anthropolology/sociology/science and technology studies + PhD
- Projectleader European projects Create Acceptance and Changing Behaviour

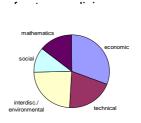
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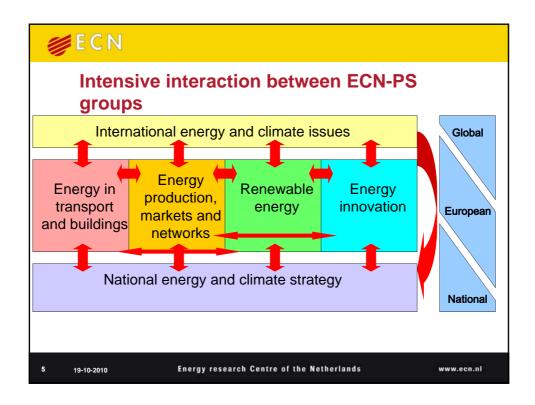
#### **Mission and position ECN Policy Studies**

- · Policy studies one of many units, only non-technical
- Adviser Dutch government and EC on energy policy areas
- Growing role at the global level with the UNFCCC, UNEP in field of international climate policy
- · 65 excellent and motivated researchers
- Much knowledge about trends, beha and technologies



19-10-2010

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#### What is societal acceptance?

Societal acceptance of innovative technologies is in place when:

- support among the expert community and national and local policy makers
- the general public is informed and positive
- No significant obstacles from local policy-makers, residents, the NGO community or other representatives of social interests
- Users willingness to adopt and adapt in contexts.
- But....can you create societal acceptance ?

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#### Who is performing societal acceptance?

- Many relevant stakeholders
- Everyone matters and can create problems!
- Stakeholders include
  - the local, regional and national government, equipment and service providers,
  - banks, intermediaries,
  - NGOs, scientific experts and ordinary citizens.
- Stakeholder map is different depending on
  - the location,
  - the technology
  - and the project

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#### **Starting Points**

- The Dilemma
  - A general favourable public attitude towards renewables and new energy technologies
  - Strong **local** resistance or even opposition when the projects are planned or implemented
- Understanding the reasons for this potential resistance crucial for success of innovations
- How can project managers of new energy projects pro-actively modulate and improve societal acceptance of a project?

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#### Why are people resisting or accepting?

- Different expectations (process, project and consequences)
- · The technology used
- The external context (national and local political, social, cultural and geographic factors)
- Their participation

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#### Key challenges for project managers

- 1. Identifying critical issues and stakeholders
- 2. Introducing appropriate projects in appropriate contexts
- 3. Interacting with the 'right people' in the 'right way' and 'at the right time'
- 4. Reflecting on action at appropriate stages
- 5. Combining societal acceptance with techno-economic success

A project manager has to work through these challenges to increase societal acceptance

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## Identifying critical issues and stakeholders for innovative technologies

- · Each new energy project is NEW in its context
- · Critical issues include:
  - user involvement and the need for user adaptation
  - economic, social and technical integration of the project
  - siting issues and impact on the local economy, social structure and health, safety and the environment
  - broader policy debates, overall public perceptions
- · All these issues differ depending on the technologies used
  - Biomass: siting issues, input logistics, limited public awareness
  - Household energy efficiency: high user involvement needed, individual investments, competing technologies
  - Solar: costs, grid connection

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## Introducing appropriate projects in appropriate contexts

Successful projects are not 'dropped' into a context, but 'reinvented' locally

- Project shapes and is shaped by local context
- Challenge: finding location where project can exploit and reinforce local benefits and identity

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# Introducing appropriate projects in appropriate contexts

A projectmanager needs to consider:

- Political and policy conditions
  - e.g., planning procedures
- Socio-economic conditions
  - e.g., regional development
- Cultural conditions
  - e.g., historical experience with different technologies, trust in institutions, identity issues
- Geographic conditions
  - e.g., suitable locations
- Timing vis-à-vis conditions
- Finding the right location for the right project

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### Interacting with the 'right people' in the 'right way' and 'at the right time'

- · 'right people':
  - Different partners who bring resources and support the project, enable the project to interact with its external environment
  - and stakeholders who are influenced by or can influence the project
- · 'right time':
  - Early enough
    - So that project design can be altered
- 'right way'
  - Continuously
    - articulating concerns, mutual learning, ensuring clarity of purpose and division of power and responsibilities
  - formal structures + face-to-face interaction and 'keeping in touch' >> project managers need to get involved

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#### Reflecting on action at appropriate stages

- Acting once resistance is in place is too late
  - Bribery issues

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### Combining process for societal acceptance with external techno-economic success

- · Societal acceptance not always necessary or sufficient
- dividing attention among factors promoting societal acceptance and techno-economic success
- Community relations
- •Articulating visions and expectations
- Managing change
- •Interaction and learning
- Ongoing dialogue with stakeholders
- •Technical & infrastructure issues (e.g. grid access)
- Operational issues (e.g. contractors)
- Market issues (e.g. competition)
- •Financial issues (investors, policy instruments)
- · Should not be separate activities, but synergistic

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#### Implications for policymakers

- Societal acceptance is a "public good"
  - experiences from earlier projects
  - experiences also spread beyond the local context
  - successful process of development co-creates supportive institutions and cultural practices
  - unsuccessful processes co-create broader institutionalised resistance

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#### Implications for policymakers

- Policy makers have an important role
  - Facilitating process of joint learning and developing creates a high level of confidence
- Public policy should support project managers:
  - Stakeholder interaction and local reinvention is not merely a way to solve local problems of societal acceptance...
  - but also as a way to find new innovative solutions that promote the socio-technical implementation and embedding of new, sustainable energy technologies.

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### Tools to measure and create societal acceptance

- ESTEEM. An open participative planning process
- Esteem analyses the potential acceptance and possibilities to increase it
- The Esteem process increases acceptance implicitly through participation
- Important in any participatory process that focuses on acceptance is that the initiators need to be willing to alter (parts of) their plans.
  - This may even include abandoning the site and looking for a more suitable site.

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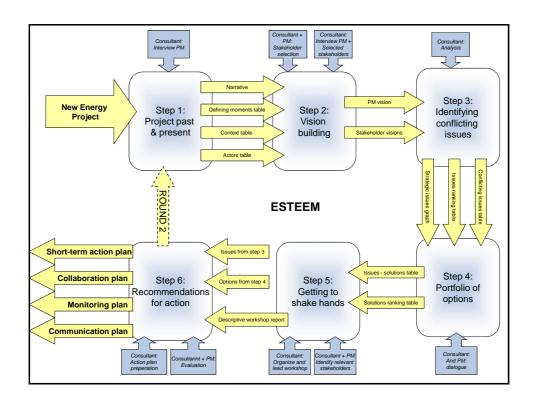


#### **ESTEEM**

- Engage stakeholders through a systematic toolbox to manage new energy projects
- 6 step methodology executed by consultant in cooperation with project manager
- Developed within European research project CreateAcceptance (ECN+9 partners), funded by the EC
- Tested in five demo projects in different contexts:
  - Wind project in Hungary
  - Solar project in Italy
  - Biomass project in Germany
  - Hydrogen project on Iceland
  - Zero Emission Power plant (CCS-project) in the Netherlands

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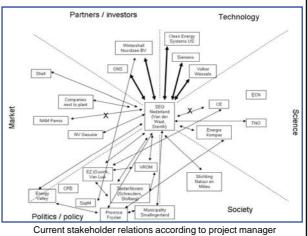
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#### Step 1: project past and present

- Narrative (4 pages on history of project)
- Context: opportunities and barriers tables
- Defining moments table
- · Actors table



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#### Step 2: vision building

- Interview with PM on future vision:
  - Future newspaper article + title
  - Social network map
- Interviews with stakeholders on future vision:
  - Customized newspaper article + title
  - Customized network map
    - Ministry of Economic Affairs
    - Ministry of VROM (environment)
    - Friesche Milieufederatie (Local NGO)
    - Municipality of Smallingerland (Drachten)
    - Province of Friesland
    - Siemens
    - Ta Wolfaert (organisation of local entrepreneurs)

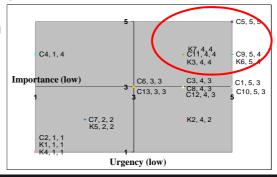
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#### Step 3 + 4: vision confrontation

- Step 3: Vision confrontation
  - Identification controversies and opportunities in future visions
  - Ranking based on importance and urgency
- Step 4: Identifying solutions
  - Identify possible solutions for overcoming controversies and using opportunities



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#### Step 5: stakeholder workshop

Workshop with PM and stakeholders:

- Plenary session presenting the original vision of the PM
- · Group work to create new strategies
  - Discussion on five issues:
    - Local impact
    - Local benefits
    - Economic issues
    - Technological and juridical issues
    - Relation with renewable energy



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#### Step 6: Planning for action

- · Acceptance and feasibility table:
  - Overview of strategies identified in workshop
- · Capacity for action table:
  - 45 strategies for further processing in:
    - Short term action plan
    - Collaboration plan
    - Monitoring plan

Communication plan

 With specification on target groups and communication formats

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- Create Acceptance
  - EU research project
  - Cooperation between 11 partners









- Approach
  - Analysis of a variety of new energy projects in Europe
  - Developing intervention methodology
  - Applying methodology in five new projects (CCS, biomass, hydrogen, wind, solar)
- · More info:
  - www.createacceptance.net

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