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13 september 2011  
Raphaël Gallis: Concepts of Resilience,  
Tripod user day 2011

**TNO** innovation  
for life

# Concepts of Resilience

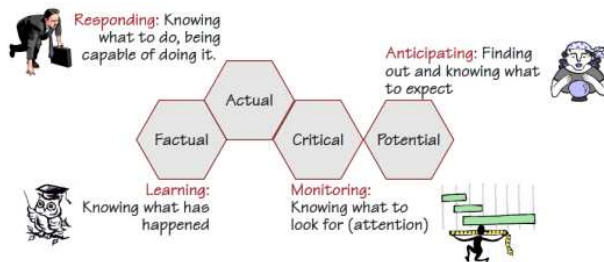
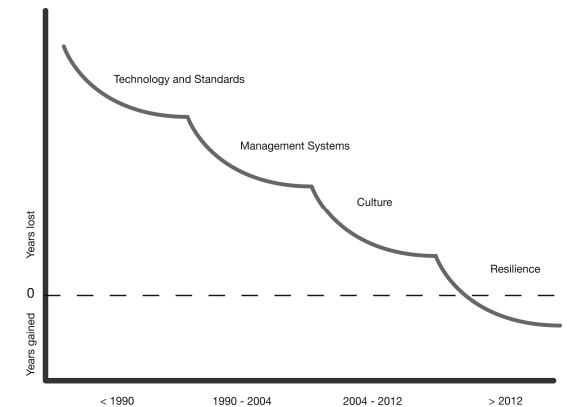
The next step?



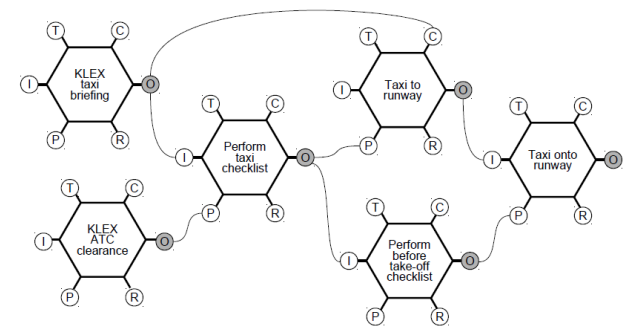


# Contents

- › Complexity, uncertainty and resilience
- › FRAM
- › Let's work
- › Wrap up



Resilience engineering measures how safe a system is by what it is able to do, hence measures of the positive rather than the negative.





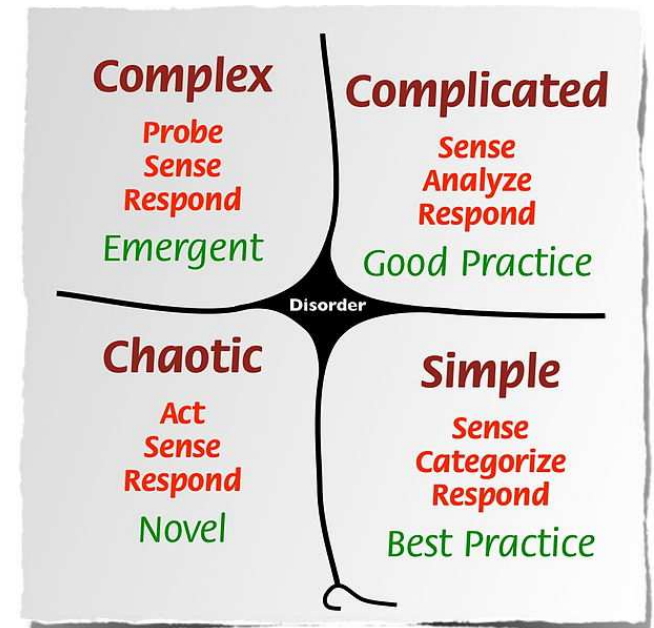
## Why, how and what

- › Safety is based mainly on a technical tradition and reasons in terms of cause – effect relationships where causes are rooted in unreliable system elements: man or technique. *This approach is not enough to understand and prevent future accidents.*
- › Variation is inevitable and needed! Safety is more and more about managing performance. It has to take changes and variability in primary processes into account. *A resilient system is able to adjust its functioning prior to- or after a disturbance or change in such a way that it keeps working.*
- › Preconditions for safe performance are allways underspecified. Functional variation is both needed as inevitable. *It is a source for succes as well as for failure.*



## Complexity

- › complicated ≠ complex
- › The end of Newtonian models
- › There is no helicopter! -> Local rules
- › Hind sight bias, no timeline
- › Emergent properties
- › Breaking up in parts does not work
- › Systems are not 'bi-modal'

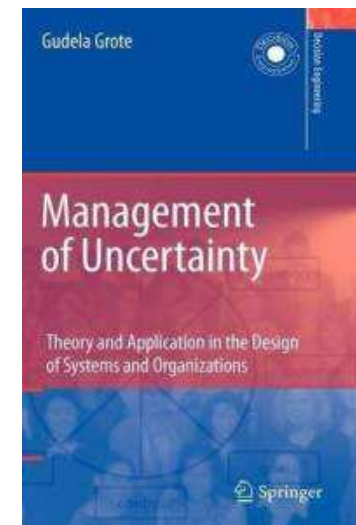
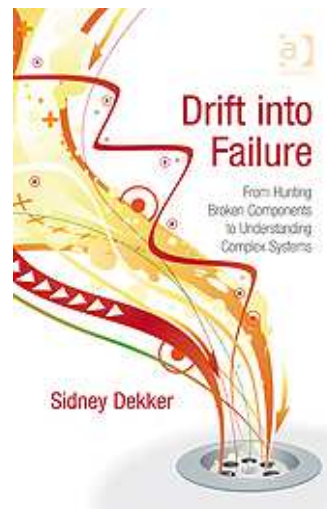




# Uncertainty

Gudela Grote:

- › Zero risk in complex systems is not possible
- › Apply flexible rules
- › Apply local controls
- › Relationship between rules and routines





### *Minimizing uncertainties*

- complex, central planning systems
- reducing operative degrees of freedom through procedures and automation
- disturbances as to be avoided symptoms of inefficient system design

*Dependence / feed-forward control*

### *Coping with uncertainties*

- planning as resource for situated action
- maximizing operative degrees of freedom through complete tasks and lateral cooperation
- disturbances as opportunity for use and development of competencies and for system change

*Autonomy / feedback control*

### *Balance through loose coupling*

Motivation through task orientation

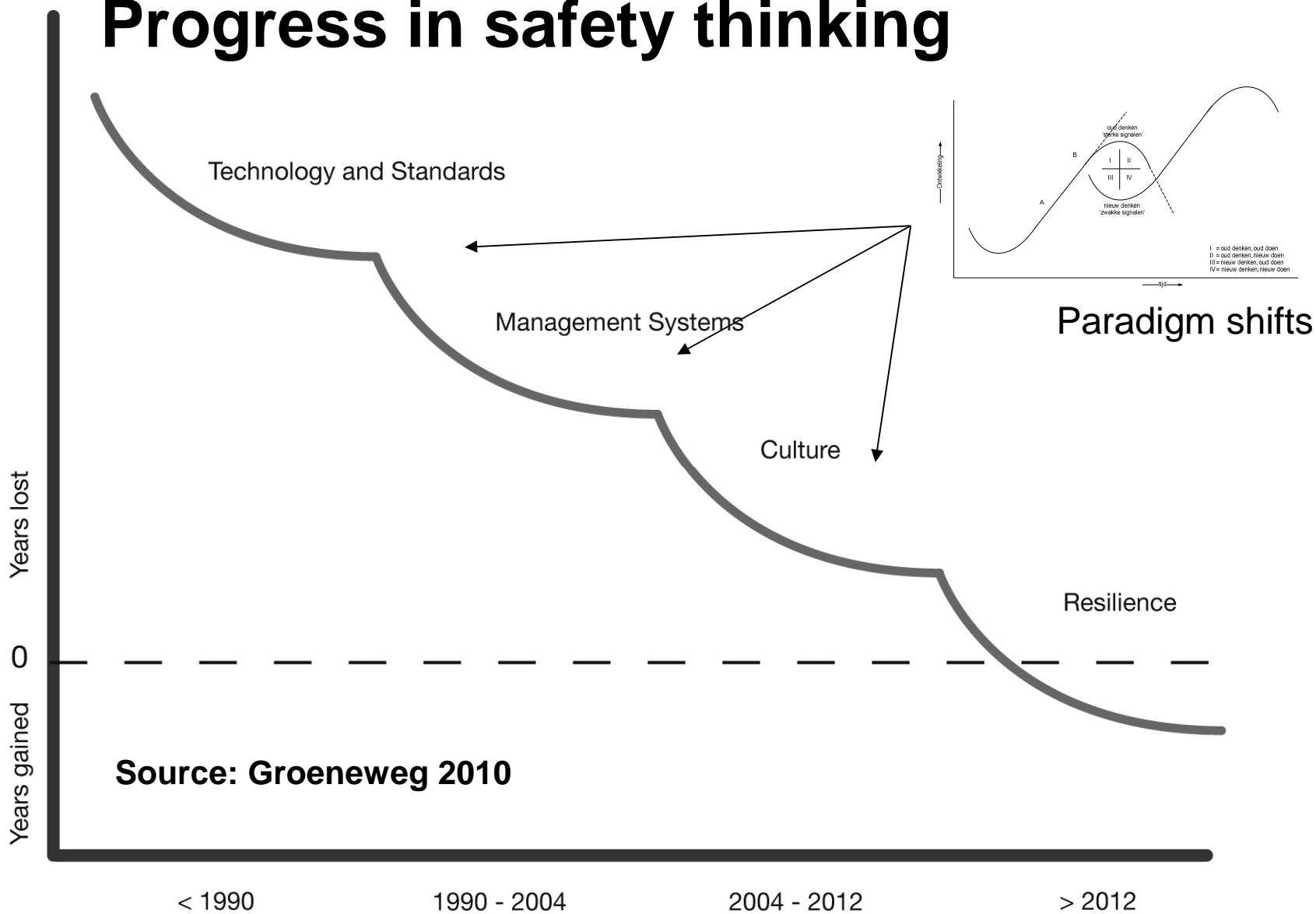
Higher order autonomy

Flexible changes between organizational modes

Culture as basis for coordination/integration



# Progress in safety thinking



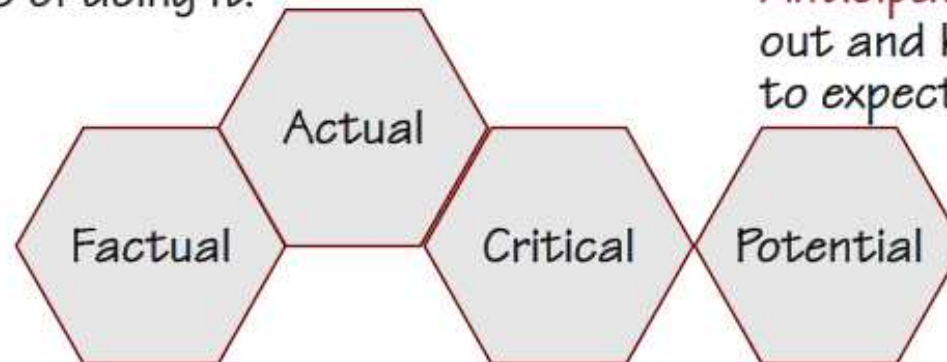


# Resilience



**Responding:** Knowing what to do, being capable of doing it.

**Anticipating:** Finding out and knowing what to expect



**Learning:** Knowing what has happened

**Monitoring:** Knowing what to look for (attention)

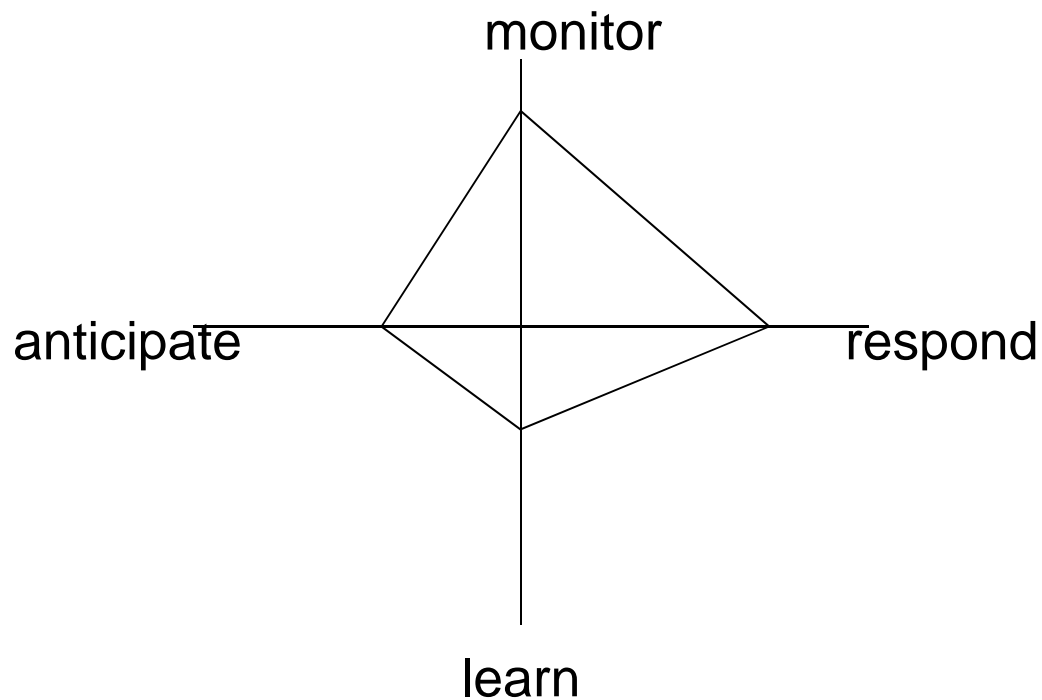


Resilience engineering measures how safe a system is by what it is able to do, hence measures of the positive rather than the negative.





# The resilience analysis grid (RAG) From concept to instrument, the next step after Tripod Delta?



See also: <http://resilience-innovationlab.org>



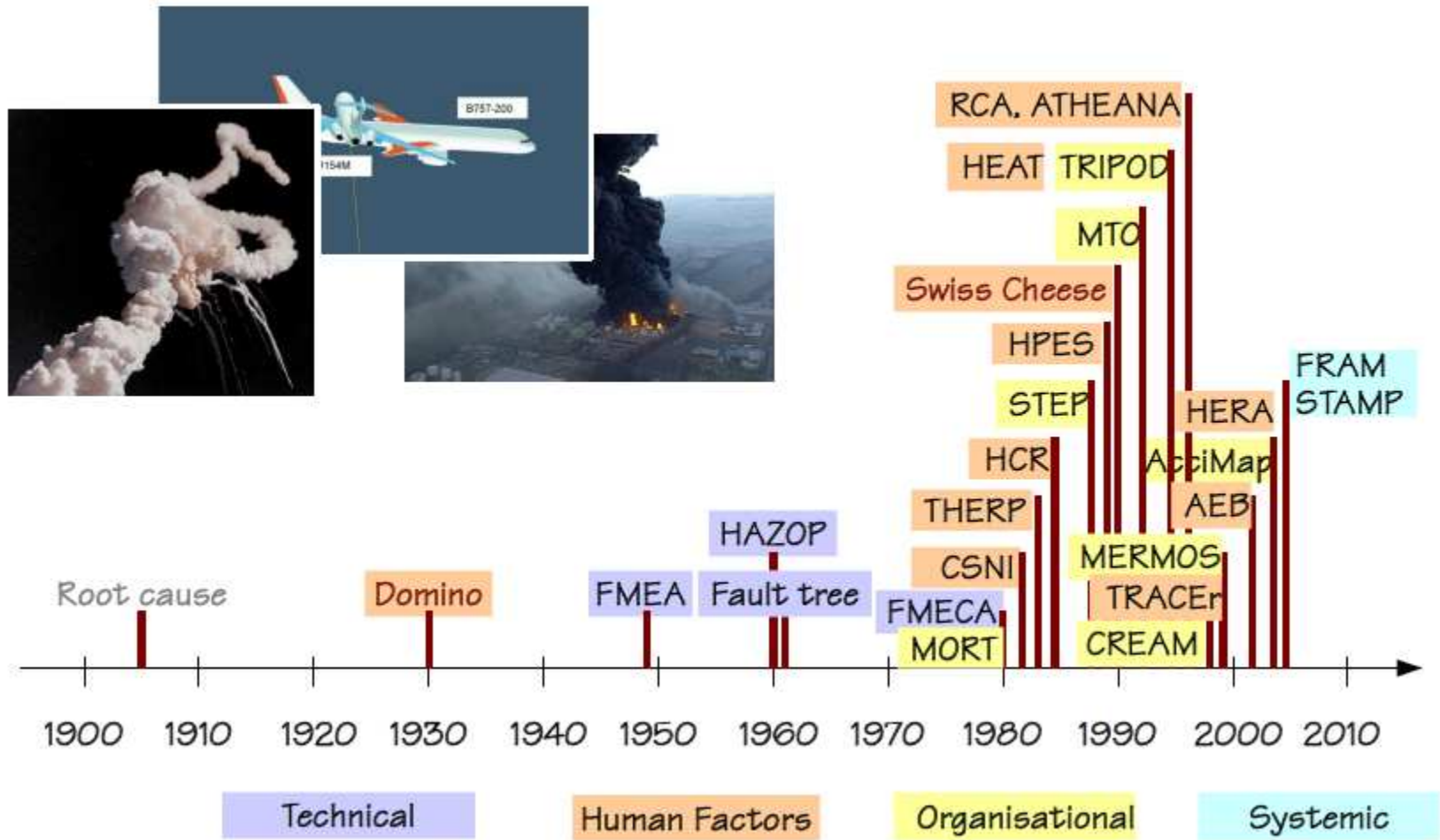
## Taming complexity

- › ETTO (efficiency - thoroughness trade offs)
- › Accountability (Pronovost)
- › HRO:
  - › Preoccupation with failure
  - › Reluctance to simplify interpretations
  - › Sensitivity to operations
  - › Commitment to resilience
  - › Deference to expertise
- › Local actions & control
- › Mindfulness



## Indicent models have dificiencies:

- › Attempt to rationalise
- › Atttempt to linearise
- › Attempt to determine cause - effect
- › Hind sight bias
  - › If only he had....
  - › If only that had...



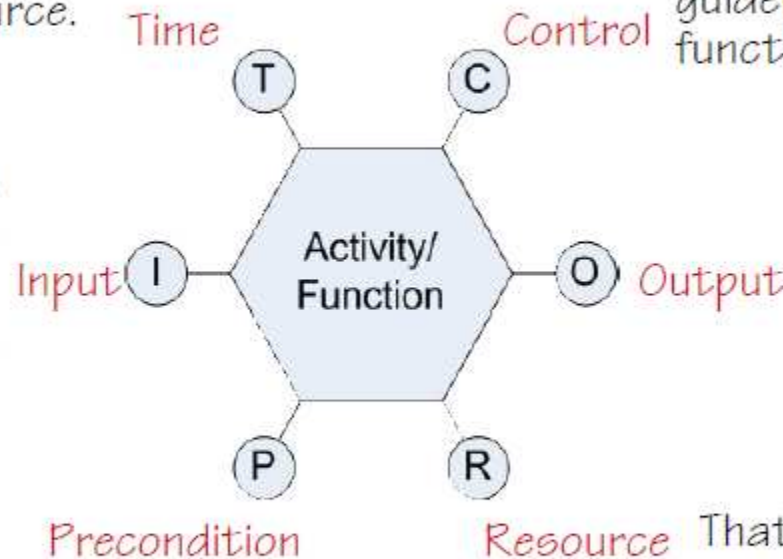


## FRAM, the next step after Tripod Beta?

Time available: This can be a constraint but can also be considered as a special kind of resource.

That which supervises or adjusts a function. Can be plans, procedures, guidelines or other functions.

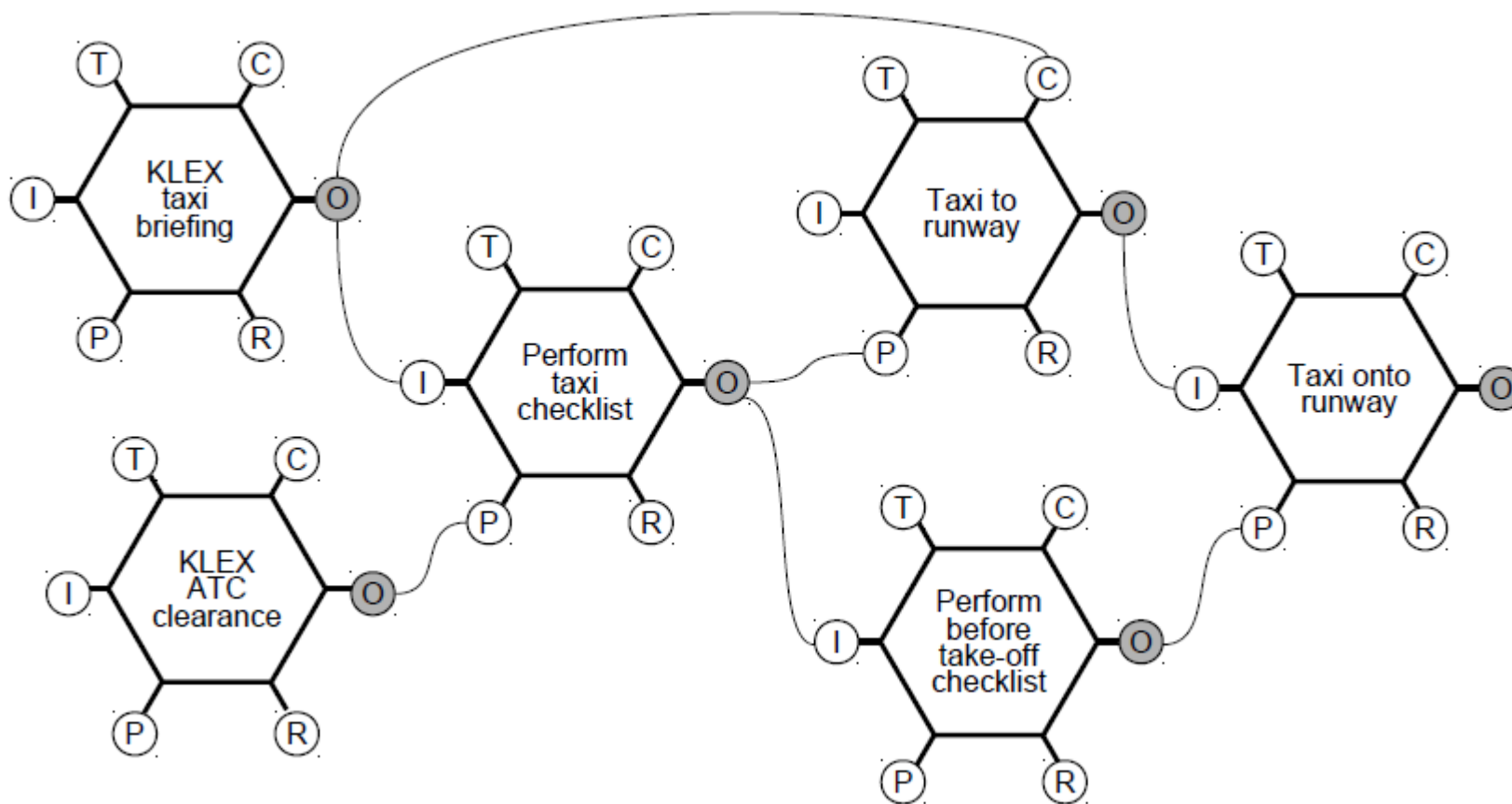
That which is used or transformed to produce the output. Constitutes the link to previous functions.



That which is produced by function. Constitute links to subsequent functions.

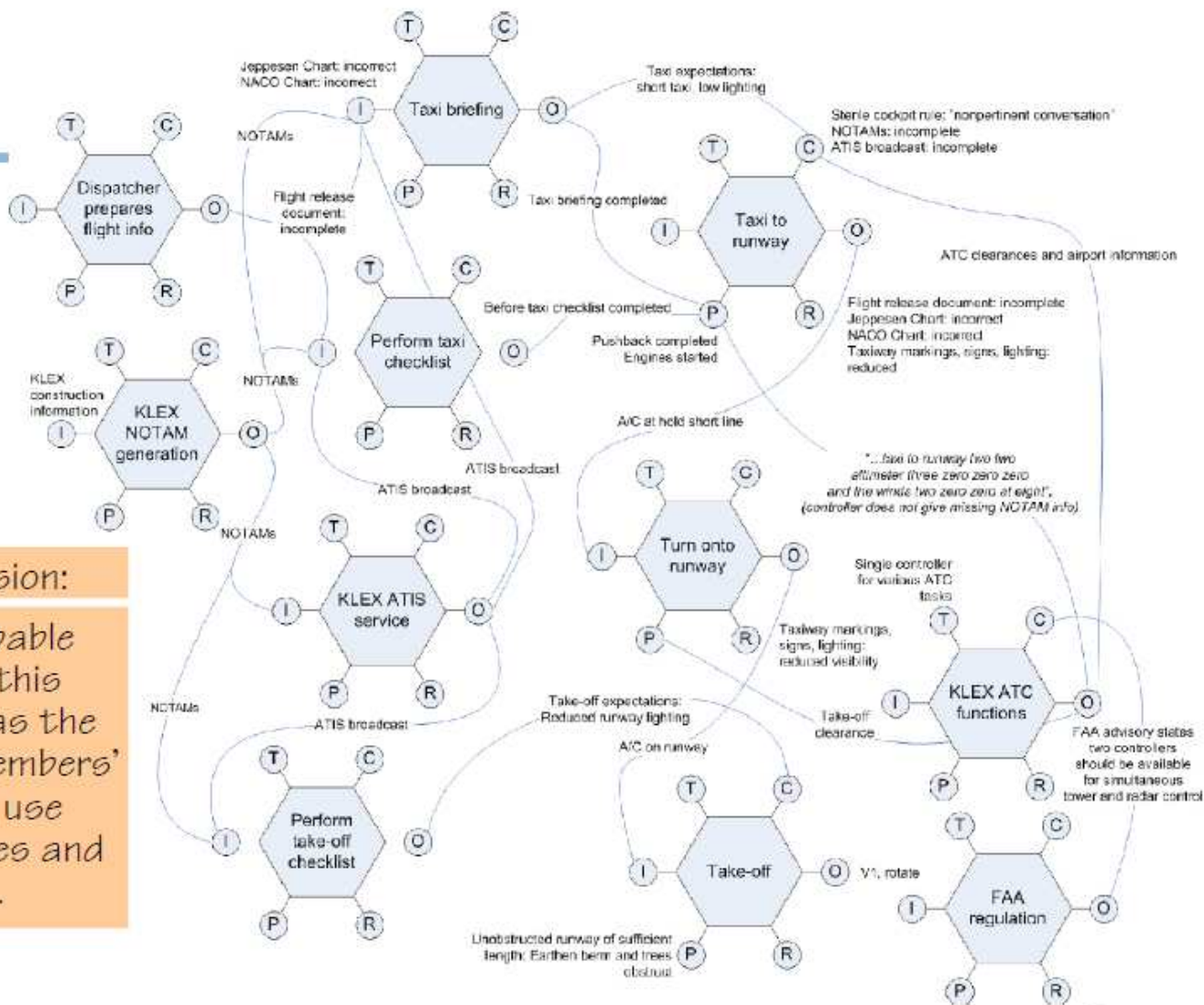
System conditions that must be fulfilled before a function can be carried out.

That which is needed or consumed by function to process input (e.g., matter, energy, hardware, software, manpower).





## FRAM 3



**NTSB conclusion:**  
... the probable cause of this accident was the flight crewmembers' failure to use available cues and aids ...



## Let's work

- › 4 flip overs: how do we see:
  - › FRAM
  - › Complexity
  - › HRO
  - › Resilience
- › Discuss, note, walk around...
- › Feedback: one 'owner' per flip, the rest assists
- › Group discussion, conclusions





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